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U.S. DISTRICT COURT

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capacity as Director, Utah Division of  
Securities, Utah Department of  
Commerce

OFFICE OF JUDGE TENA CAMPBELL  
DISTRICT OF UTAH  
BY: Jay T. Jorgensen, Utah Bar #8216  
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Carter G. Phillips, *pro hac* application pending  
Dennis C. Hensley, *pro hac* application pending  
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Attorneys for Plaintiff

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF UTAH, CENTRAL DIVISION

SECURITIES INDUSTRY AND FINANCIAL )  
MARKETS ASSOCIATION, a New York- )  
Based Non-Profit Corporation )

Plaintiff,

v.

R. WAYNE KLEIN, an individual, in his )  
official capacity as Director, Utah Division of )  
Securities, Utah Department of Commerce, )

Defendant.

ORDER ON JOINT


MOTION TO DISMISS

Case No. 2:06cv00624 TC

Judge Tena Campbell

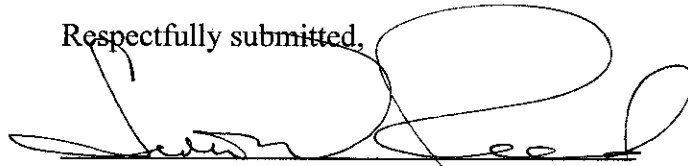
The parties in this matter have jointly moved the Court to dismiss the Complaint and dissolve the injunction entered in this case based on mootness of the claims asserted in Plaintiff's Complaint. Having reviewed that motion and finding that good cause exists, the motion is hereby GRANTED. The injunction entered by the Court on August 11, 2007, *see* Docket No. 6, is hereby dissolved and the Complaint is dismissed. Each party will bear their own costs.

Dated this 4 day of May, 2007.

  
The Honorable Fena Campbell  
United States District Judge

Dated: May 3, 2007

Respectfully submitted,



Mark Shurtleff, Utah Bar # 4666  
Utah Attorney General  
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Assistant Attorney General

Attorneys for Defendant R. Wayne Klein in his  
official capacity as Director, Utah Division of  
Securities, Utah Department of Commerce

Dated: May 3, 2007

Respectfully submitted,



Henry F. Minnerop, *pro hac application pending*  
Carter G. Phillips, *pro hac application pending*  
Dennis C. Hensley, *pro hac application pending*  
Mark D. Hopson, *pro hac application pending*  
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